

PATENT
ATTORNEY DOCKET NO.: FIBRO1130-3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Grotendorst and Neff Art Unit: Unassigned
Parent Serial No.: 09/461,646 Examiner: Unassigned
Parent Filing Date: December 14, 1999
Serial No.: Unassigned
Filed: September 9, 2003
Title: CONNECTIVE TISSUE GROWTH FACTOR FRAGMENTS AND METHODS AND USES THEREOF

Mail Stop PATENT APPLICATION

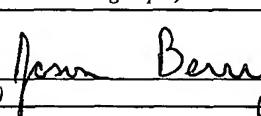
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 § CFR 1.97, Applicants bring to the Examiner's attention the related parent patent application, U.S. Serial No. 09/461,646, filed December 14, 1999, which is relied upon for an earlier filing date under 35 USC § 120. For the convenience of the Examiner, copies of the PTO Forms 892 and 1449 are enclosed.

It is respectfully requested that these references be considered in the examination of this application and their consideration be made of written record in the application file.

<p style="text-align: center;">CERTIFICATION UNDER 37 CFR §1.10 "EXPRESS MAIL" Mailing Label Number: <u>EV 318 738 191 US</u> Date of Deposit: <u>September 9, 2003</u></p>	
<p>I hereby certify that this correspondence is being deposited with the United States Postal Service as "Express Mail Post Office to Addressee" with sufficient postage on the date indicated above and is addressed to: Mail Stop PATENT APPLICATION, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.</p>	
<p style="text-align: center;"><u>JASON BERRY</u> (Name of Person Mailing Paper)</p>	
<p style="text-align: center;">(Signature) </p>	<p style="text-align: right;">September 9, 2003 (Date)</p>

In re Application of:
Grotendorst and Neff
Application No.: Unassigned
Filed: September 9, 2003
Page 2

PATENT
Attorney Docket No.: FIBRO1130-3

No fee is deemed necessary in connection with the filing of this Information Disclosure Statement, because it is being filed prior to the receipt of a first office action on the merits of the above-captioned application. However, if any fee is required, authorization is hereby given to charge any fees associated with the filings submitted herewith, or credit any overpayment to Deposit Account No. 50-1355. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

Date: September 9, 2003



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U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

SERIAL NUMBER

09/461646

Art Unit

1647

Attachment
to Paper
Number

18

NOTICE OF REFERENCES CITED

APPLICANT(S) : Grotendorst et al.

U.S. PATENT DOCUMENTS

*		DOCUMENT NUMBER	DATE	NAME(S)	CLASS	SUBCLASS	FILING DATE
		5,876,730	3/2/99	Brigstock et al.	424	198.1	8/7/97

FOREIGN PATENT DOCUMENTS

*		DOCUMENT NO.	DATE	COUNTRY	NAME	CLASS	SUBCLASS	PERTINENT DRW SPEC

OTHER REFERENCES (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)

EXAMINER

DATE

* A COPY OF THIS REFERENCE IS NOT BEING FURNISHED
WITH THIS OFFICE ACTION. (SEE MPEP SECTION 707.05(a)).

PAGE 1 OF 1

FORM PTO-1449 U.S. Department of Commerce Patent and Trademark Office 	Docket No.: FIBR01130-2	Application No.: 09/461,646
	Applicants: CONNECTIVE TISSUE GROWTH FACTOR FRAGMENTS AND METHODS OF USES THEREOF	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT	Filing Date: December 14, 1999	Group Art Unit: RECEIVED

JUN 10 2003

U.S. PATENT DOCUMENTS

EXAM. INITIALS		DOCUMENT NUMBER	DATE	NAME	CLASS	TECH CENTER 1600/2000 SUB-CLASS	FILING DATE

FOREIGN PATENT DOCUMENTS

EXAM. INITIALS		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATIO N (YES/NO)

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages)

	AD	Steffen et al., "Characterization of Cell-Associated and Soluble Forms of Connective Tissue Growth Factor (CTGF) Produced by Fibroblast Cells In Vitro Growth Factors" <i>Harwood Academic Publishers GmbH</i> , Vol. 15, No. 3, pages 199-213, 1998.
	AE	Ball et al., "Characterization of 16- to 20-kilodalton (kDa) Connective Tissue Growth Factors (CTGFs) and Demonstration of Proteolytic Activity For 38-kDa CTGF in Pig Uterine Luminal Flushings", <i>Biology of Reproduction</i> , Vol. 59, No. 4, October 1998.
	AF	Shimo et al., Inhibition of Endogenous Expression of Connective Tissue Growth Factor by its Antisense Oligonucleotide and Antisense RNA Suppresses Proliferation and Migration of Vascular Endothelial Cells", <i>Journal of Biochemistry</i> , Vol. 124, No. 1, July 1998.

EXAMINER		DATE CONSIDERED
		8/14/03

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Form 1449

FORM PTO-1449 U.S. Department of Commerce Patent and Trademark Office <i>JUN 09 2003</i> <small>SEARCHED INDEXED JULY 10 2003 MAILED JULY 10 2003</small>	Docket No.: FIBR01130-2	Application No.: 09/461,646
	Applicants: CONNECTIVE TISSUE GROWTH FACTOR FRAGMENTS AND METHODS OF USES THEREOF	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT	Filing Date: December 14, 1999	Gr up Art Unit: 1647

	AG	Frazier et al., "Stimulation of Fibroblast Cell Growth, Matrix Production and Granulation Tissue Formation By Connective Tissue Growth Factor", <i>Journal of Investigative Dermatology</i> , Vol. 107, No. 3, 1996.
<i>JK</i>		
RECEIVED		

JUN 10 2003

TECH CENTER 1600/2900

EXAMINER <i>J. Spector</i>	DATE CONSIDERED <i>8/14/03</i>
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Form 1449

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FORM PTO-1449 U.S. Department of Commerce Patent and Trademark Office <i>AUG 02 2001</i>	Docket No.: FIBRO1130-2	Application No.: 09/461,646
	Applicants: Grotendorst and Neff	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT	Filing Date: December 14, 1999	Group Art Unit: 1647
RECEIVED <i>Aug 07 2001</i>		

U.S. PATENT DOCUMENTS

TECH CENTER 1600/2900

EXAM. INITIALS		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE

FOREIGN PATENT DOCUMENTS

EXAM. INITIALS		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION (YES/NO)

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages)

<i>[Signature]</i>	AA	Mori, et al. "Role and Interaction of Connective Tissue Growth Factor with Transforming Growth Factor-B in Persistent Fibrosis: A Mouse Fibrosis Model," <i>Journal of Cellular Physiology</i> , 181:153-159 (1999).
<i>[Signature]</i>	AB	Nakanishi, et al. "Cloning of mRNA Preferentially Expressed in Chondrocytes by Differential Differential Display-PCR from a Human Chondrocytic Cell Line that is Identical with Connective Tissue Growth Factor (CTGF) mRNA," <i>Biochemical and Biophysical Research Communications</i> , 234:206-210 (1997).
<i>[Signature]</i>	AC	Pawar, et al. "Differential Gene Expression in Migrating Renal Epithelial Cells After Wounding," <i>Journal of Cellular Physiology</i> , 165:556-565 (1995).

J. Spector 7/12/01

Paper # 14

FORM PTO-1449 U.S. Department of Commerce Patent and Trademark Office		Docket No. FIBRO1130-2	Serial No.: 09/461,646
MAY 05 2000 PATENT & TRADEMARK OFFICE JC3731			
Applicant(s): Grotendorst et al.			
INFORMATION DISCLOSURE STATEMENT BY APPLICANT		Filing Date: December 14, 1999	Group Art Unit: 1646

U.S. PATENT DOCUMENTS

EXAM. INITIALS		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE

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EXAM. INITIALS		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATIO N (YES/NO)

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages)

	AW	Nakanishi et al., "Cloning of a mRNA Preferentially Expressed in Chondrocytes by Differential Display-PCR from a Human Chondrocytic Cell Line That Is Identical with Connective Tissue Growth Factor (CTGF) mRNA," <i>Biochemical and Biophysical Research Communications</i> , 234:206-210 (1997)
	AX	Pawar et al., "Differential Gene Expression in Migrating Renal Epithelial Cells After Wounding," <i>Journal of Cellular Physiology</i> , 165:556-565 (1995)

Also cited in paper #17

EXAMINER <i>J. Spector</i>	DATE CONSIDERED <i>9/12/01</i>
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FORM PTO-1449
U.S. Department of Commerce Patent and
Trademark Office

Docket No. *FIBRO130-2* *AUG 11 2000*
O/PE 3-TELEMARK 1998

Serial No.:
09/461,646

Applicant(s): *Grotenhorst and Neff*

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

Filing Date:
December 14, 1999

Group Art Unit:
1646

U.S. PATENT DOCUMENTS

EXAM. INITIALS		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE

FOREIGN PATENT DOCUMENTS

EXAM. INITIALS		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION (YES/NO)

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages)

	Brigstock et al., "Purification and Characterization of Novel Heparin-binding Growth Factors in Uterine Secretory Fluids," <i>The Journal of Biological Chemistry</i> 272(32):20275-20282 (August 8, 1997)

EXAMINER <i>J. Scott</i>	DATE CONSIDERED <i>8/12/01</i>
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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449 U.S. Department of Commerce Patent and Trademark Office		Docket No. FIBRO1130-2	Serial No.: 09/461,646
MAR 30 2000 PCT/US TRADEMARK JCS7			
INFORMATION DISCLOSURE STATEMENT BY APPLICANT		Applicant(s): Grotendorst et al.	
		Filing Date: December 14, 1999	Group Art Unit: 1646 / 1647

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EXAM. INITIALS		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE
<i>J</i>	AA	5,408,040	4/18/95				
	AB	5,585,270 *	12/17/96				
	AC	5,783,187 *	7/21/98				
	AD	5,770,209	6/23/98				
	AE	5,837,258	11/17/98				
<i>V</i>	AF	5,916,756 *	6/29/99				

* Copy of this Patent is not enclosed as it is cumulative of Patent No. 5,408,040.

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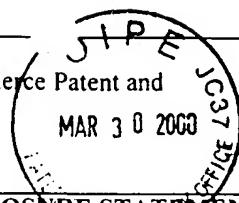
EXAM. INITIALS		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATIO N (YES/NO)
<i>M</i>	AG	WO 96/38172	12/5/96				
<i>V</i>	AH	WO 96/38168	12/5/96				

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages)

<i>J</i>	AI	Campochiaro et al., <i>Retinal Pigment Epithelial Cells Produce PDGF-like Proteins and Secrete them into their Meida*</i> , Exp. Eye Res. Vol. 49, pp. 217-227, 1989.
	AJ	Frazier et al., <i>Expression of Connective Tissue Growth Factor mRNA in the Fibrous Stroma of Mammary Tumors</i> , Int. J. Biochem. Cell Bio., Vol. 29, No. 1, pp. 153-161, 1997.
<i>V</i>	AK	Igarashi et al., <i>Connective Tissue Growth Factor Gene Expression in Tissue Sections From Localized Scleroderma, Keloid, and Other Fibrotic Skin Disorders</i> , The Journal of Investigative Dermatology, Vol. 106, No. 4, pp. 729-733, April 1996.

EXAMINER	DATE CONSIDERED
<i>J. Grotendorst</i>	<i>10/15/01</i>

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



Docket No.

FIBRO1130-2

Serial No.:

09/461,646

Applicant(s): Grotendorst et al.

INFORMATION DISCLOSURE STATEMENT
BY APPLICANTFiling Date:
December 14, 1999Group Art Unit:
1646, 847

<i>JJ</i>	AL	Igarashi et al., <i>Regulation of Connective Tissue Growth Factor Gene Expression in Human Skin Fibroblasts and During Wound Repair</i> , Molecular Biology of the Cell, Vol. 4, pp. 637-645, June 1993.
<i>JJ</i>	AM	Igarashi et al., <i>Significant Correlation Between Connective Tissue Growth Factor Gene Expression and Skin Sclerosis in Tissue Sections from Patients with Systemic Sclerosis</i> , The Journal of Investigative Dermatology, Vol. 105, No. 2, pp. 280-284, August 1995.
<i>JJ</i>	AN	Kikuchi et al., <i>Growth Regulation in Scleroderma Fibroblasts: Increased Response to Transforming Growth Factor-β1</i> , The Journal of Investigative Dermatology, Vol. 105, No. 1, pp. 128-132, July 1995.
<i>JJ</i>	AO	Mori et al., <i>Role and Interaction of Connective Tissue Growth Factor With Transforming Growth Factor-β in Persistent Fibrosis: A Mouse Fibrosis Model</i> , Journal of Cellular Physiology Vol. 181, pp. 153-159, 1999. <i>Fn. p. 27 #17</i>
<i>JJ</i>	AP	Murphy et al., <i>Suppression Substrative Hybridization Identifies High Glucose Levels as a Stimulus for Expression of Connective Tissue Growth Factor and Other Genes in Human Mesangial Cells</i> , The Journal of Biological Chemistry, Vol. 274, No. 9, pp. 5830-5834, Issue c February 26, 1999.
<i>JJ</i>	AQ	Oemar et al., <i>Human Connective Tissue Growth Factor Is Expressed in Advanced Atherosclerotic Lesions</i> , Circulation, Vol. 95, No. 4, pp. 831-839, February 18, 1997.
<i>JJ</i>	AR	Ohnishi et al., <i>Increased Expression of Connective Tissue Growth Factor in the Infarct Zone of Experimentally Induced Myocardial Infarction in Rats</i> , J. Mol. Cell Cardio., Vol. 30, pp. 2411-2422, 1998.
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<i>JJ</i>	AU	Shimokado et al., <i>A Significant Part of Macrophage-Derived Growth Factor Consists of at Least Two Forms of PDGF</i> , Cell, Vol 43, pp. 277-286, November 1985.
<i>JJ</i>	AV	Wenger et al., <i>Expression and differential regulation of connective tissue growth factor in pancreatic cancer cells</i> , CTGF and pancreatic cancer, pp. 1073-1080.

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10/17/01

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